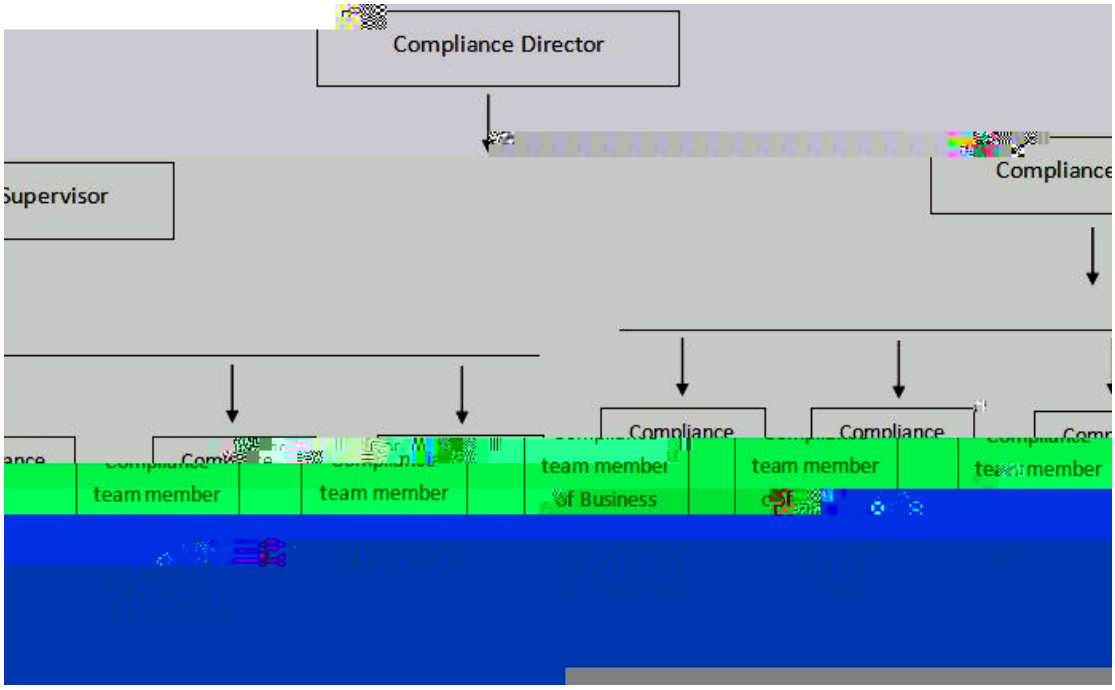
	Name of Internal control Document: Silver Supply Chain Due Diligence Regulations		Internal control Version: C
Document number: GXNF/QES/C-02-2024	Main Control Department: Enterprise Management Department	Proofreader:	Effective date: December, 2024



3.2. Responsibilities of various personnel

3.2.1. Responsibilities of Compliance Director

1) In charge of and be fully responsible for the silver supply chain due diligence work of the company.

2) Monitor and inspect the due diligence process in the silver supply chain and evaluate whether the due diligence is adequately conducted; if necessary, additional documents or information is required.

3) Takes appropriate actions to reduce and eliminate risks in the silver supply chain or transactions where risks have been identified.

4) In case there is a high-risk silver supply chain or transaction, promptly report it to the senior management of the company and take strict control measures; be responsible for approving new silver supply chains that are rated as high-risk after authorized by the senior management.

5) Provide necessary and accurate information for the Company's senior

5) Be responsible for collecting and urging importers to complete corresponding due diligence reports.

6) Verify the qualifications of shipping agents and transportation companies, track and properly keep each shipping document, and analyze and evaluate the transportation routes through which the goods pass.

7) Conduct regular on-site investigations of major foreign suppliers.

8) Assist and encourage foreign suppliers to commit and confirm in writing that they comply with the provisions in Appendix II of the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High- Risk Areas*.

9) Be responsible for organization to participate in taking measures such as on-site/remote assessments to reduce risks until risks are eliminated in silver supply chains or transactions where risks have been identified.

10) Report to the Compliance Supervisor in case of abnormality in the due diligence process and silver transaction.

11) Regularly attends training on the accountability guidelines and supply chain due diligence policy.

3.2.4. Responsibilities of the Compliance Officer of the Domestic Material Supply Department

1) Strictly implement the supply chain due diligence regulations and high-risk silver supply chain evaluation criteria.

2) Be responsible for collecting and maintaining sufficient silver supply chain documents.

3) Be responsible for collecting and urging domestic suppliers to complete

corresponding due diligence reports.

4) Verify the qualifications of shipping agents and transportation companies, track and properly keep each shipping document, and analyze and evaluate the transportation routes through which the goods pass.

5) Conduct regular on-site investigations of major domestic suppliers and domestic customers.

6) Assist and encourage domestic suppliers to commit and confirm in writing that they comply with the provisions in Appendix II of the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High- Risk Areas*.

7) Be responsible for organization to participate in taking measures such as on-site/remote assessments to reduce risks until risks are eliminated in silver supply chains or transactions where risks have been identified.

8) Report to the Compliance Supervisor in case of abnormality in the due diligence process and silver transaction.

9) Regularly attends training on the accountability guidelines and supply chain due diligence policy.

3.2.5. Responsibilities of the Compliance Officer of Business Management Department

1) Strictly implement the supply chain due diligence regulations and high-risk silver supply chain evaluation criteria.

2) Be responsible for collecting and maintaining sufficient silver supply chain documents.

3) Be responsible for collecting and urging domestic clients to complete

3.2.7. Responsibilities of the Compliance Officer of Producing Department

1) Strictly implement the supply chain due diligence regulations and high-risk silver supply chain evaluation criteria.

2) Be responsible for collecting and maintaining sufficient silver supply chain documents.

3) Be responsible for supervising the production and use of each batch of silver materials and makes corresponding records, and saves these records for at least 3 years.

4) Report to the Compliance Supervisor in case of abnormality in the due diligence process and silver transaction.

5) Regularly attends training on the accountability guidelines and supply chain due diligence policy.

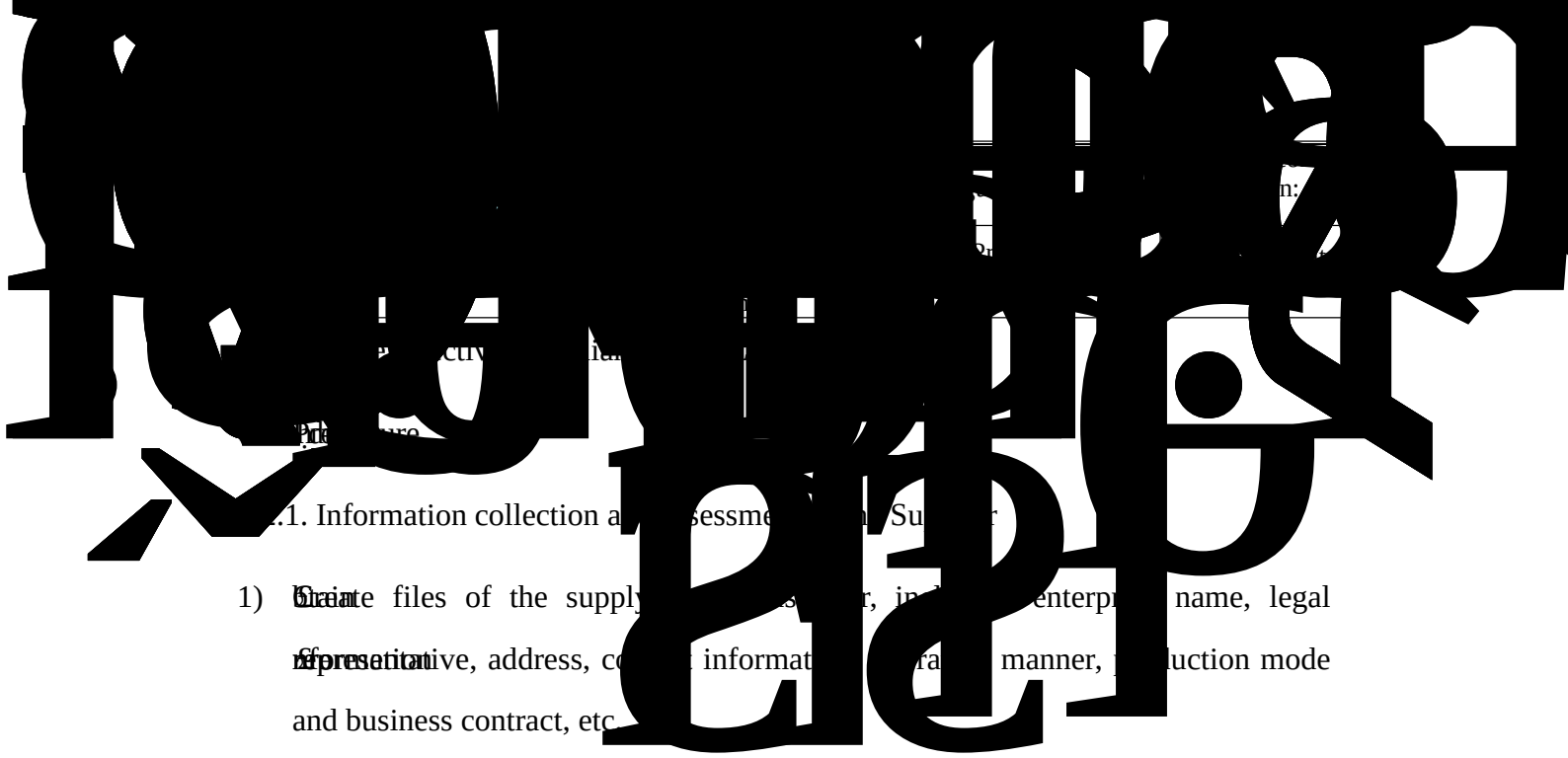
Regardless of mineral silver or recycled silver, the Company will identify risks in strict accordance with regulations in Appendix II of the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High- Risk Areas*. In case of the following risks in silver supply chain sources, the Company should pay close attention to:

1) Systematic and widespread human rights violations related to silver mining, transportation and trading, including the most serious forms of child labor, torture, inhuman and degrading treatment, widespread sexual violence or other serious crimes against forced human rights, war crimes, crimes against humanity or genocide.

2) Direct or indirect support to non-governmental armed groups, public or private

4) Failure to comply with government tax requirements regarding the extraction, trade and export of mineral products from conflict-a high-risk areas;

5) Money laundering and terrorism financing.



.1. Information collection a sessme Su r

1) Create files of the supply r, in enterpr name, legal representative, address, co informat ra manner, p uction mode and business contract, etc.

2) Carry out regular inspection of supply chain customer. If there is new-add or modification in customers, it is needed to check their archives to keep the archive database up to date.

3) Identify every customer, enterprise and benefit owner of enterprise. Use reliable independent source documents, data or information to verily their identification.

4) Confirm that every customer, enterprise and benefit owner of enterprise is not in the wanted list of money laundering, defraud or terrorism of the government.

5) Obtain detailed information for business and financial affairs purposes of engagement in silver and understand business operation situation of supply chain customers regularly.

6) Background investigation and information of supplier's shareholder structure, qualification, -

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